1	TITLE OF THE INVENTION
2	"Ring Network for Sharing Protection Resource by Working
3	Communication Paths"
4	BACKGROUND OF THE INVENTION
5	Field of the Invention
6	The present invention relates generally to self-healing
7	communications networks, and more specifically to a ring topology
8	network which supports multiplex signals on protection communication
9	paths during failures by fast switching from working communication
10	paths.
11	Description of the Related Art
12	Ring topology networks, particularly, optical ring networks are
13	currently receiving attention because of the number of wavelengths that
14	can be multiplexed onto a single optical link is increasing due to recent
15	innovative techniques. A number of technical publications deal with
16	this topic. A four-fiber ring network is discussed in a technical paper
17	"Multiwavelength Survivable Ring Network Architectures", A. F.
18	Elrefaie, Proceedings of ICC '93, pages 1245-1251, 1993. According to
19	this publication, a loopback fault recovery method is described. In a
20	four-fiber ring network where optical links are interconnected by a
21	number of network nodes so that working rings are formed for
22	transmission of signals in opposite directions of the ring topology and
23	protection rings are formed for transmission of signals in opposite
24	directions of the ring topology. The protection rings respectively
25	correspond to the working rings and the direction of transmission of
26	each protection ring is also opposite to the direction of transmission of
27	the corresponding working ring. Optical paths are established on each
28	of the working and protection rings between network nodes. If a
29	working optical path between source and destination nodes fails, two
30	loopback points are formed, one on each end of the affected link of the
31	working path, for connecting ends of the corresponding protection

1	optical path to unaffected sections of the working path so that a
2	recovery route is established between the source and destination nodes
3	Since the loopback points are close to the location of the failure
4	the recovery route can be quickly established by nodes adjacent to the
5	fault location and there is no need to exchange fault recovery messages
6	between nodes involved. However, the length of the recovery route is
7	significantly long. If a working path spans across one half of its ring,
8	the length of the recovery route would become one and half times the
9	whole length of the ring.
10	A two fiber ring network is described in a technical paper "An
11	Optical FDM-Based Self-Healing Ring Network Employing Arrayed
12	Waveguide Grating Filters and EDFA's with Level Equalizers", Hiromi
13	Toba et al., IEEE Journal on Selected Areas in Communications, Vol. 14
14	No. 5, pages 800-813. In the two fiber ring network, one of the two rings
15	is used as a working ring for transmission of signals in one direction of
16	the ring topology and the other for transmission of the same signals in
17	the opposite direction. A working path is established on the working
1,8	ring between two nodes and a corresponding protection path is
19	established between them on the protection ring. Under normal
20	conditions, signals from the source node are forwarded onto the
21	working path as well as onto the protection path. If the working path
22	fails, instant switching occurs at these two nodes to continue the
23	communication over the protection path.
24	Although all signals can be fully and quickly recovered on the
25	protection path, the constant use of the protection path, utilization
26	efficiency of the transmission mediums is low.
27	SUMMARY OF THE INVENTION
28	It is therefore an object of the present invention to provide a
29	ring topology network which requires short-length fault recovery routes
30	and ensures high efficient utilization of transmission mediums.
31	According to a first aspect of the present invention, there is

- 1 provided a communications network comprising a plurality of
- 2 transmission links and a plurality of nodes for interconnecting the links
- 3 to form a working ring and a protection ring in a ring topology, and
- 4 establishing a plurality of working paths on the working ring and a
- 5 plurality of protection paths on the protection ring corresponding to the
- 6 plurality of working paths. In the network, one of the working paths
- 7 spans across first and second nodes of the plurality of nodes for
- 8 transmission of a signal in a first direction of the ring topology, and one
- 9 of the protection paths spans across the first and second nodes for
- transmission of a signal in a second direction of the ring topology
- opposite to the first direction. The first and second nodes normally use
- the working path that spans across them. Responsive to a failure of the
- working path, the nodes use the protection path that spans across them,
- instead of the failed working path.

According to a second aspect, the present invention provides a

16 communications network comprising a plurality of transmission links,

and a plurality of nodes for interconnecting the links to form first and

18 second working rings and first and second protection rings in a ring

19 topology, and establishing a plurality of working paths on each of the

20 working rings and a plurality of protection paths on each of the

- 21 protection rings corresponding to the plurality of working paths. A first
- 22 working path of the first working ring spans across first and second
- 23 nodes for transmission of a signal in a first direction of the ring
- topology, and a second working path of the second working ring spans
- 25 across the first and second nodes for transmission of a signal in a
- second direction of the ring topology opposite to the first direction. A
- 27 first protection path on the first protection ring spans across the first and
- 28 second nodes for transmission of a signal in the second direction of the
- 29 ring topology, and a second protection path of the second protection
- 30 ring spans across the first and second nodes for transmission of a signal
- in the first direction of the ring topology. The first and second nodes

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normally use the first and second working paths, respectively. 1 Responsive to a failure of one of the first and second working paths, the 2 first and second nodes use a corresponding one of the first and second 3 protection paths, instead of the failed working path. 4 According to a third aspect, the present invention provides a 5 communications network comprising a plurality of transmission links; 6 and a plurality of nodes for interconnecting the links to form a working 7 ring and a protection ring in a ring topology, and establishing a 8 plurality of working paths on the working ring and a plurality of extra 9 traffic paths on the protection ring. One of the working paths spans 10 across first and second nodes for transmission of a signal in a first 11 direction of the ring topology and one of the extra traffic paths spans 12 across the first and second nodes for transmission of a low-priority 13 signal in a second direction of the ring topology opposite to the first 14 direction. The first and second nodes normally use the working path 15 that spans across them. When a failure occurs in the working path, the 16 extra traffic path between the nodes is cleared and a short-haul 17 protection path is established for using it instead of the failed working 18 path. If the short-haul protection path is not successfully established due to a further failure, other extra traffic paths are cleared and a longhaul protection path is established for using it instead of the failed 21 working path. 22 According to a further aspect, the present invention provides a 23 communications network in which first and second working paths are 24 assigned a first network resource and first and second protection paths 25 are assigned a second network resource. The first node normally uses 26 the first network resource and the first working path for transmission of 27

network resource and the first working path. The second node normally uses the second network resource and the second working

signals, and in response to a failure in the first ring, uses the second

network resource and the second protection path, instead of the first

path for transmission of signals, and in response to a failure of the

2 second ring, uses the first network resource and the first protection

3 path, instead of the second network resource and the second working

4 path.

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According to a still further aspect, the present invention 5 provides a communications network in which first and second working paths are assigned first and second network resources, respectively, and first and second protection paths are assigned the second and first network resources, respectively. The first node normally uses the first network resource and the first working path for transmission of signals 10 and is responsive to a failure of the first ring to use the second 11 protection path instead of the first working path. The second node 12 normally uses the second network resource and the second working 13 path for transmission of signals and is responsive to a failure of the 14 second ring to use the first protection path instead of the second 15 protection path. 16

According to a still further aspect, the present invention 17 provides a network node for a ring topology network, the network 18 having first and second working rings and first and second protection 19 rings in a ring topology, and a plurality of working paths on each of the working rings and a plurality of protection paths on each of the 21 protection rings corresponding to the plurality of working paths, 22 the network node comprising a first demultiplexer for receiving a 23 multiplex signal from one of the working paths for producing drop-off 24 signals, a first multiplexer for multiplexing add-up signals onto the 25 working path, a first path switch connected between the first 26 demultiplexer and the first multiplexer, a second demultiplexer for 27 receiving a multiplex signal from one of the protection paths for 28 producing drop-off signals, a second multiplexer for multiplexing add-29 up signals onto the protection path, a second path switch connected 30

between the second demultiplexer and the second multiplexer, a

1	transmit protection switch, a receive protection switch, and control
2	circuitry for monitoring the working path and controlling the transmit
3	protection switch so that one of the add-up signals is coupled to the
4	first multiplexer when no failure is detected in the working path and
5	coupled to the second multiplexer when a failure is detected in the
6	working path, and controlling the receive protection switch so that one
7	of the drop-off signals of the first multiplexer is received when no
8	failure is detected in the working path and one of the drop-off signals of
9	the second multiplexer is received when the failure is detected.
10	BRIEF DESCRIPTION OF THE DRAWINGS
11	The present invention will be described in further detail with
12	reference to the accompanying drawings, in which:
13	Fig. 1 is a block diagram of a ring topology optical network
14	according to the present invention;
15	Fig. 2 is a block diagram of an optical add-drop multiplexer of
16	Fig. 1;
17	Fig. 3 is a flowchart of the operation of the monitor circuit of the
18	add-drop multiplexer;
19	Fig. 4 is a schematic diagram illustrating multiple optical paths
20	established in one of the working rings and in one of the protection
21	rings of Fig. 1;
22	Fig. 5 is a schematic diagram of a two-ring topology network
23	according to a modified embodiment of the present invention;
24	Fig. 6 is a block diagram of an add-drop multiplexer of Fig. 5;
25	Fig. 7 is a schematic diagram showing routes followed by
26	signals of Fig. 6 that occur in the event of link failures;
27	Fig. 8 is a schematic diagram of a two-ring topology network
28	according to a further modification of the present invention;
29	Fig. 9 is a block diagram of an add-drop multiplexer of Fig. 8;
30	Fig. 10 is a schematic diagram showing routes followed by
31	signals of Fig. 9 that in the event of link failures;

1	Figs. 11A and 11B are schematic diagrams of a four-ring
2	topology network according to a further embodiment of the present
3	invention;
4	Fig. 12 is a block diagram of an add-drop multiplexer used in
5	the embodiment of Figs. 11A and 11B;
6	Figs. 13A and 13B are flowcharts of the operation of the monitor
7	circuit of a destination node of Figs. 11A and 11B in the event of link
8	failures;
9	Fig. 14 is a flowchart of the operation of the monitor circuit of a
10	source node of Fig. 11B in the event of link failures; and
11	Figs. 15A, 15B and 15C are block diagrams of optical protection
12	switches useful for universal applications for possible failures in a four-
13	ring topology network.
14	DETAILED DESCRIPTION
15	In Fig. 1, a wavelength-division multiplex (WDM) four- fiber ring
16	network of the present invention is illustrated. The network is made up
17	of a plurality of nodes 105 to 108 which interconnect optical fiber links
18	to form rings 101 to 104 in a ring topology. Rings 101 and 102 form a
19	first pair of working and protection transmission mediums,
20	respectively, and the rings 103 and 104 form a second pair of working
21	and protection transmission mediums, respectively. The directions of
22	transmission of the working and protection rings of each pair are
23	opposite to each other and the direction of transmission of the working
24	ring of the first pair is opposite to that of the working ring of the second
25	pair.
26	Each network node has a first add-drop optical multiplexer 121
27	for processing optical signals which normally propagate in the
28	clockwise direction over the working ring 101 of the first pair and a
29	second ADM 122 for processing optical signals which normally
30	propagate in the counterclockwise direction over the working ring 103
31	of the second pair. In the event of a failure, the ADM 121 also processes

signals propagating over the counterclockwise ring 102, while the ADM 122 processes signals propagating over the clockwise ring 104. 2 Each optical add-drop multiplexer of the network is connected to 3 a network element such as ATM (asynchronous transfer mode) switches 4 or SONET (Synchronous Optical Network) terminators to add up 5 incoming traffic signals of wavelengths λ_1 and λ_2 in the 1.5 μ m region by multiplexing them with other traffic signals and drop off traffic signals of λ_1 and λ_2 in the 1.5 μm region by demultiplexing them from other 8 traffic signals. In addition to the traffic signals, a supervisory or OAM 9 (operations, administration and maintenance) frame of wavelength λ_s in 10 the 1.3 µm region is multiplexed with the traffic signals. 11 All optical add-drop multiplexers 121 and 122 of the network are 12 of identical construction. As shown in Fig. 2, each ADM 121 (122) 13 includes a working ADM processor 209 and a protection ADM 14 processor 210 of identical configuration, which are respectively 15 connected in the working ring 101 (103) and the protection ring 102 16 (104). Because of the identical configuration, the description that 17 follows is only concerned with the ADM 121 for simplicity. 18 At the input of ADM processor 209, a WDM signal arriving on 19 the working ring 101 is supplied to an optical demultiplexer 300 where the traffic signal is separated into wavelength components λ_1 and λ_2 21 and fed to optical splitters 301 and 302, respectively, to drop off the 22 received signals. Optical path switches 305 and 306 are provided to 23 establish a junction point of an optical path or a source point of an 24 optical path for add-up signals supplied from the network element via 25 protection switches 211, 212. These path switches are controlled from an 26 external source to exclusively supply an optical multiplexer 307 with 27 signals from the splitters 301, 302 or signals from the protection 28 switches 211 and 212. 29 In a similar manner, counterclockwise WDM signal propagating 30

over the protection ring 102 during a fault recovery period is supplied

- to an optical demultiplexer 300' of the ADM processor 210 where the
- 2 traffic signal is separated into wavelength components _ and _ and fed
- 3 to optical splitters 301' and 302', respectively. Optical path switches
- 4 305' and 306' are provided to establish a junction point of an optical
- 5 path or a source point of an optical path for add-up signals supplied
- 6 from the network element via protection switches 211, 212. These path
- 7 switches are controlled from an external source to exclusively supply
- 8 an optical multiplexer 307' with signals from the splitters 301, 302 or
- 9 signals from the protection switches 211 and 212.
- OAM command frames of the working ring are separated by the
- demultiplexer 300 and applied to a monitor circuit 215, where their
- contents are examined to control optical protection switches 211, 212,
- 13 213 and 214. OAM command are also transmitted on the protection ring
- 14 102 when it is used if the working route fails. OAM command frames
- on the protection ring 102 are detected by the demultiplexer 300' and
- applied to the monitor circuit 215 to control the optical protection
- switches 211, 212, 213 and 214 when the failed route is repaired.
- 18 Monitor circuit 215 also relays the received OAM frame to downstream
- 19 node as indicated by broken lines 250.
- To the inputs of protection switches 213 and 214 are connected a
- 21 plurality of splitters 217 to 220. Splitters 217 and 218 extract a greater
- portion (90%) of energy of the drop-off signals from splitters 301' and
- 23 301 for coupling to the protection switch 214 and supply the remainder
- 24 energy to the monitor circuit 215. Likewise, splitters 219 and 220 extract
- a greater portion of energy of drop-off signals from splitters 302' and
- 302 for coupling to the protection switch 213 and supply the remainder
- 27 energy to the monitor circuit 215. In response to control signals from
- the monitor circuit 215, the protection switch 213 selects one of the
- outputs of splitters 219 and 220 for application to the network element,
- and the protection switch 214 selects one of the outputs of splitters 218
- and 219 for coupling to the network element.

Fig. 3 is a flowchart of the operation of the monitor circuit 215 of 1 each add-drop multiplexer during a fault recovery process. 2 A fault recovery process begins in a network node when the 3 monitor circuit of the node determines that the bit error rate of an 4 incoming optical signal that terminates to its own node has dropped 5 below a predefined threshold value (step 351). If this is the case, the 6 monitor circuit recognizes that its own node is a destination node of a 7 working optical path from the source node of the monitored signal and 8 a link failure has occurred in that working path. Flow proceeds to step 9 352 to formulate and transmit an OAM frame to an adjacent node over 10 an unaffected section of the working ring, containing the source node 11 identifier in the destination address (DA) field of the frame, the path 12 identifier of the failed working path and the type of fault. At step 353, 13 the monitor circuit of the destination node performs protection 14 switching from the failed working path to a protection path pre-15 established between the source and destination nodes. 16 If the decision at step 351 is negative or if the monitor circuit of 17 the destination node has performed protection switching at step 353, 18 flow proceeds to step 354 to monitor OAM frames. If an OAM frame destined for another node is received, flow proceeds to step 355 to forward the frame onto an unaffected section of the working ring so that 21 the frame is relayed to an adjacent node. 22 If the decision at step 354 is negative or the monitor circuit has 23 relayed an OAM frame to an adjacent node, flow proceeds to step 356 to 24 check to see if an OAM frame destined for its own node is received. If 25 so, the monitor circuit of the source node recognizes that a link failure 26 has occurred in a working path identified by the path identifier of the 27 received frame and performs protection switching to the pre-28 established protection ring and returns to the starting point of the 29 routine. If the decision at step 356 is negative, flow returns to step 351. 30

Therefore, if a link failure occurs between nodes 105 and 106 as

shown in Fig. 1, the WDM signal normally propagating clockwise over 1 an optical working path 131 from source node 106 to destination node 2 108 is affected and protection switching occurs at source and 3 destination nodes 106 and 108 to switch over a protection optical path 4 132 to transport the affected signal in the counterclockwise direction. 5 More specifically, the working path 131 is established by ADMs 6 121 of nodes 106, 105 and 108 as follows. 7 At the source node 106, the switches 305, 306 set up connections 8 between the protection switches 211, 212 and the multiplexer 307 so that 9 source signals of wavelengths λ_1 and λ_2 from the network element are 10 passed through the upper positions of protection switches 211, 212 and 11 forwarded onto the working ring 101. At the intermediate node 105, the 12 path switches 305, 306 set up connections between the splitters 301, 302 13 and the multiplexer 307 to forward the received signals onto the ring 14 101 in the clockwise direction. At the destination node 108, the 15 protection switches 213, 214 are operated to select the outputs of 16 splitters 220 and 218 for coupling the terminating signals received via 17 splitters 301, 302 to the network element, while turning the path 18 switches 305, 306 to cut off connections between the splitters 301, 302 19 and the multiplexer 307. 20 Optical protection path 132 is established by operating the path 21 switches 305', 306' of intermediate node 107 to set up connections 22 between the splitters 301', 302' and the multiplexer 307'. At the source 23 node 106, the path switches 305' and 306' set up connections between 24 the protection switches 211, 212 and the multiplexer 307' in preparation 25 for possible transmission of the source signals to the protection ring 102 26 when these protection switches are switched to the lower position. 27 At the destination node 108, the path switches 305', 306' are 28

turned off to prevent no signals from being applied from these switches to the multiplexer 307' in preparation for possible reception of the terminating signals from the protection ring 102 via the demultiplexer

300' when the protection switches 213 and 214 are switched to their 1 lower position. 2 The operation of the flowchart of Fig. 3 will be described below 3 by assuming that a link failure occurs between nodes 105 and 106 on the 4 working path 131 as indicated in Fig. 1. 5 First, the monitor circuit 215 of the destination node 108 detects 6 the occurrence of the link failure when it determines that the bit error 7 rate of the signals from splitters 218, 220 has dropped below the 8 threshold value (step 351). Monitor circuit 215 of the destination node 9 108 formulates an OAM frame 133, containing the identifier of source 10 node 106 and the identifier of the failed path 131 and a protection 11 switching command. This frame is transmitted over an unaffected 12 section of working ring 101 to node 107 (step 352), where the monitor - 13 circuit 215 of its ADM 121 examines the destination node identifier. 14 Recognizing that the frame is not destined for the node 107 (step 354), it 15 retransmits this frame to the source node 106 as an OAM frame 134 over 16 an unaffected section of working ring 101 (step 355). 17 Meanwhile, the monitor circuit 215 of the destination node 108 18 operates the protection switch 214 to connect the output of splitter 217 to the network element (step 353) so that it can receive the WDM signal which will be transmitted on the protection path 132 from the source 21 node 106. 22 When the monitor circuit 215 of source node 106 receives the 23 OAM frame 134 (step 356), it recognizes that the frame is destined for its 24 own node and a link fault has occurred and provides switching to a 25 protection path by operating its protection switches 211, 212 (step 357). 26 As a result, the signals from the source node 106 are coupled through 27 the protection switches 211, 212 and path switches 355', 356' and 28 multiplexed by the multiplexer 307' into a WDM signal and forwarded 29 onto the protection path 132 and transmitted in the counterclockwise 30

direction to the intermediate node 107 and relayed to the destination

node 108.

When the link failure is repaired, the network configuration is restored by switching from the protection ring to the working ring in preparation for a possible link failure.

If the link failure between nodes 105 and 106 is due to a cable cut, the WDM signal normally propagating counterclockwise on a working path established in the ring 103 is also affected. In this case, the nodes 108 and 106 acts as source and destination nodes to perform the routine of Fig. 3, with node 107 also acting as an intermediate node, to switch over the signal to a protection path pre-established in the ring 104.

It is seen that the length of protection path 132 for recovering a fault is significantly reduced in comparison with the prior art loopback four-fiber ring network. In a similar situation to that shown in Fig. 1, the loopback fault recovery scheme would require nodes 105 and 106 to form two loopback points, one on each end of the failed link, so that a recovery path is established starting from node 106, passing through nodes 107 and 108 to node 105, where it is looped back to the node 108. The present invention thus allows implementation of a four-fiber ring network having a long-haul ring structure with a small number of intermediate nodes.

If the network uses a frame format in which the bit position indicates information, the destination node identifier and the path identifiers may be respectively assigned first and second eight bits of the section overhead and the command may be represented by one bit. In the above-mentioned example case, only one working optical path is affected by a link failure for the purpose of describing the basic operation of each node during a fault recovery process. If a number of optical paths are affected simultaneously, it is advantageous for a source node to formulate an OAM frame by concatenating such bit sequences in number corresponding to the number of affected paths, or

wavelengths. Using a single command message, protection switching
can be performed simultaneously on as many optical paths as there are
different wavelengths in a fiber link.

Fig. 4 shows an example of path configuration of the present invention in which a number of optical paths are established in the working ring 101 using a single wavelength. Since the network is of the symmetrical structure with respect to the direction of transmission, the path configuration of the second pair of rings 103 and 104 is identical to that of the first pair, only one pair of rings 101 and 102 is illustrated.

As illustrated, optical paths 401 to 404 are established in the clockwise working ring 101 using wavelength λ_1 . Since it is possible to use other wavelengths to establish additional optical paths in the network, only one wavelength is shown to describe the advantage of the present invention.

Optical paths 401 to 404 are established on the working ring 101 between adjacent nodes in the clockwise direction of transmission. Corresponding to the working optical paths 401 to 404, protection optical paths 401' to 404' are respectively established in the counterclockwise ring 102 in such configuration that they support their counterparts in the event of a link failure. Specifically, protection path 401' extends counterclockwise from node 106 to node 105 via nodes 107 and 108, path 402' extending from node 105 to node 108 via nodes 105 and 107, path 403' extending from node 108 to 107 via nodes 105 and 106, and path 404' extending from node 107 to 106 via nodes 108 and 105.

Establishment of more than two optical paths on a single wavelength resource results in an optical ring topology network of high utilization efficiency as compared with the conventional two-fiber ring network where only one optical path is allowed for both working and protection rings and the wavelength resource of the protection ring is exclusively used by the working ring. In the present invention, the

wavelength resource of the protection ring is not exclusively used by the working ring. Rather, it is shared by the optical paths in the working 2 ring. 3 Another important feature of the present invention is that, since 4 the distance travelled by the OAM frame is not greater than the length 5 of the ring and since intermediate nodes are not involved in protection 6 switching, the amount of time taken to complete a fault recovery 7 process is comparable to that of the conventional SONET four-ring 8 topology network. 9 In contrast with the conventional two-fiber ring network where 10 the protection ring is always used for transporting signals in a direction 11 opposite to that of the signals on the working ring, the present 12 invention provides a further advantage in that the normally unused 13 protection ring can be used for transporting low priority signals. 14 In addition, difficulty exists in the prior art WDM ring-topology 15 network to perform OAM management functions on wavelengths using 16 a bundle of optical paths as a management unit. Such wavelength 17 management can be easily achieved by using the present invention in a 18 SONET environment since a bundle of paths can be used. 19 The cost of the ring-topology network of the present invention 20 can be reduced by multiplexing additional wavelengths λ_3 and λ_4 on 21 the working and protection rings 101 and 102, instead of using rings 103 22 and 104. 23 One embodiment of this two-fiber ring network is shown 24 schematically in Fig. 5. Each of the working and protection rings 101 25 and 102 is identically assigned four wavelengths λ_1 to λ_4 . In each ring, 26 wavelengths λ_1 and λ_2 are used to establish working paths and 27 wavelengths λ_3 and λ_4 are used to establish protection paths. The 28 working paths in the ring 101 are used to carry optical signals in the 29 clockwise direction and those in the ring 102 are used to carry optical 30 signals in the counterclockwise direction. Thus, if the two-ring

- topology network has two nodes A and B as illustrated in Fig. 5, two
- 2 working paths and two protection paths can be established between
- nodes A and B in each of the rings 101 and 102. If wavelength λ_1 is used
- 4 for communication between nodes A and B, they use rings 101 and 102
- 5 respectively for their normal transmission.
- Node A is provided with protection switches 501 and 502 and a
- 7 wavelength converter 503, and node B is likewise provided with
- 8 protection switches 505 and 506 and a wavelength converter 507. During
- 9 normal operation, all switches are positioned to the left for transmission
- and reception of wavelength λ_1 , so that the transmit signal from the
- switch 501 of node A is sent through ring 101 and received by switch
- 12 506 at node B and the transmit signal from the switch 505 of node B is
- 13 sent through ring 102 and received by switch 502 at node A.
- If node B detects the occurrence of a failure on the ring 101 by
- 15 examining its terminating signal from ring 101, it sends a command
- message at wavelength λ_s on the ring 102 to node A and moves its
- switch 506 to the right. In response, the node A moves its switch 501 to
- the right. Assume that wavelength λ_3 is assigned to both nodes for their
- 19 transmission of signals during fault recovery time. The transmit signal
- of node A is now coupled through the switch 501 to the wavelength
- converter 503 where its wavelength is converted from λ_1 to λ_3 . The λ_3 -
- transmit signal is then applied to the protection path established on
- wavelength λ_3 in the ring 102 and transmitted in the counterclockwise
- direction. At node B, the wavelength of this signal is received through
- 25 the switch 506. Since the transmit signal of the node B is unaffected,
- 26 both nodes maintain their switches 502 and 505 in the left position.
- 27 Thus, the transmit signals of both nodes propagate in the same
- counterclockwise direction over the ring 102 when the ring 101 fails.
- 29 Although the wavelength λ_3 from ring 102 is different from that
- 30 normally received through ring 101, the node B treats it as if it has the
- 31 same wavelength as that normally used.

On the other hand, if the node A detects the occurrence of a 1 failure on the ring 102 while it is using the ring 101 for normal 2 transmission, it sends a command message on the ring 101 to node B 3 and moves its switch 502 to the right. In response, the node B moves its 4 switch 505 to the right. The transmit signal of node B is now coupled 5 through the switch 505 to the wavelength converter 507 where its 6 wavelength is converted from λ_1 to λ_3 . The λ_3 -transmit signal is then 7 applied to the protection path established on wavelength λ_3 in the ring 8 101 and transmitted in the clockwise direction. At node A, the 9 wavelength of this signal is received through the switch 502. Since the 10 transmit signal of the node A is unaffected, both nodes maintain their 11 switches 501 and 506 in the left position. Thus, the transmit signals of 12 both nodes propagate in the same clockwise direction over the ring 101 13 when the ring 102 fails. Although the wavelength λ_3 from ring 101 is 14 different from that normally received through ring 102, the node A 15 treats it as if it has the same wavelength as that normally used. 16 Fig. 6 shows details of each node of Fig. 5. Each node is provided 17 with add-drop multiplexers 600 and 610 which are respectively 18 associated with rings 101 and 102. 19 In the ADM 600, WDM signal on the ring 101 (102) is separated 20 by a demultiplexer 700 into four wavelength components. Wavelengths 21 λ_3 and λ_4 are supplied through splitters 701 and 702 to path switches 22 705 and 706, whereas λ_1 and λ_2 are supplied direct to path switches 703 23 and 704. Multiplexer 707 combines the outputs of the path switches 703 24 to 706 onto the ring 101 (102). Wavelength λ_3 and λ_4 from splitters 701 25 and 702 are respectively supplied to protection switches 619 and 620 26 via splitters 616 and 618. On the other hand, WDM signal on the ring 27 102 (101) is separated by a demultiplexer 710 of ADM 610 into four 28 wavelength components. Wavelengths λ_1 and λ_2 are supplied through 29 splitters 711 and 712 to path switches 713 and 714, whereas λ_3 and λ_4 are 30 supplied direct to path switches 715 and 716. Multiplexer 717 31

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multiplexes output signals of the path switches 713 to 716 onto the ring 1 102 (101). 2 Via splitters 615 and 617, wavelength signals λ_1 and λ_2 from 3 splitters 711 and 712 are respectively supplied to protection switches 4 619 and 620. Monitor circuit 630 receives replicas of the terminating 5 signals from splitters 615 to 618 to assess their quality and controls the 6 protection switches 619 and 620 to determine which one of the 7 terminating signals from rings 101 and 102 is to be supplied to the 8 network element. 9 Monitor circuit 630 further controls protection switches 611 and 10 612 for coupling the transmit signals λ_1 and λ_2 of the local node to one 11 of the rings 101 and 102. When these protection switches are moved to 12 the lower position, signals λ_1 and λ_2 are coupled to wavelength 13 converters 613 and 614 and converted to λ_3 and λ_4 , respectively. The 14 outputs of wavelength converters 613, 614 are switched through the 15 path switches 715 and 716 to the multiplexer 717 for transmission on 16 ring 102 (101). When the protection switches 611, 612 are moved to the 17 upper position, the signals λ_1 and λ_2 are coupled through the path 18 switches 703 and 704 to the multiplexer 707 for transmission on ring 101 19 (102).20 Fig. 7 schematically shows routes followed by signals of Fig. 6 in 21 the case of node A of Fig. 5. During normal operation, transmit signal 22 λ_1 is coupled through protection switch 611 and path switch 705 and 23 forwarded onto ring 101. Terminating signal λ_1 from ring 102 is 24 coupled through splitters 711 and 615 to protection switch 619 as 25 indicated by a solid thick line. 26 When the ring 101 fails, the protection switch 611 is moved to the 27 lower position, coupling the transmit signal to the wavelength 28 converter 613. Thus, the wavelength of the signal is converted to λ_3 and 29

transmitted through the path switch 715 to the ring 102 as indicated by a

thick broken line. Thus, the communicating nodes transmit their signals

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on different wavelengths, using the same ring 102. 1 If the ring 102 fails, instead of ring 101, the protection switch 619 2 is moved to the upper position. Since the ring 102 is not the working 3 ring of the local node, it is the remote node that switches its protection 4 switch 611. Thus, at the local node, the terminating signal λ_3 arrives on 5 ring 101 and is coupled through splitters 701 and 616 to protection 6 switch 619 and thence to the network element as indicated by a thick 7 broken line. 8 9 A modified form of the embodiment of Figs. 5 to 7 is shown in Figs. 8, 9 and 10, in which parts corresponding in significance to those 10 in Figs. 5 to 7 are marked with the same numerals as those in Figs. 5 to 11 7. 12 As shown in Fig. 8, working optical paths are established with 13 wavelengths λ_1 , λ_2 on ring 101 and with wavelengths λ_3 and λ_4 on ring 14 102, instead of wavelengths λ_1 and λ_2 . Protection optical paths are 15 established using wavelengths λ_3 and λ_4 on ring 101 and using λ_1 and 16 λ_2 on ring 102. This arrangement eliminates the need to use wavelength 17 converters. 18 For communication between nodes A and B, wavelengths λ_1 and 19 λ_3 as well as rings 101 and 102 are assigned respectively to nodes A and

 λ_3 as well as rings 101 and 102 are assigned respectively to nodes A and B. During normal operation, protection switches 501 and 502, at node A, are arranged to transmit wavelength λ_1 to ring 101 and receive terminating signal λ_3 from ring 102. At node B, protection switches 505 and 506 are arranged to transmit wavelength λ_3 to ring 102 and receive terminating signal λ_1 from ring 101. During fault recovery time, wavelengths λ_1 and λ_3 are also used by nodes A and B, respectively. If node B detects the occurrence of a failure on the ring 101, it

If node B detects the occurrence of a failure on the ring 101, it sends an OAM frame at wavelength λ_3 on ring 102 to the node A and moves its own switch 506 to the right. In response, the node A moves its switch 501 to the right for coupling the transmit signal λ_1 through switch 501 to the protection path established on wavelength λ_1 in the

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ring 102 and transmitted in the counterclockwise direction. This signal is received, at node B, through the switch 506. Since the transmit signal 2 of node B is unaffected, both nodes maintain their switches 502 and 505 3 in the left position. Thus, the transmit signals of both nodes propagate 4 in the same counterclockwise direction using different wavelengths 5 over the ring 102 when the ring 101 fails. 6 If the node A detects the occurrence of a failure on the ring 102 7 while it is using the ring 101 for normal transmission, it sends an OAM 8 frame at wavelength λ_1 on the ring 101 to node B and moves its switch 9 502 to the right. In response, the node B moves its switch 505 to the 10 right. The transmit signal λ_3 , at node B, is now coupled through the 11 switch 505 to the protection path established on wavelength _3 in the 12 ring 101 and transmitted in the clockwise direction. At node A, this 13 signal is received through the switch 502. Similar to Fig. 5, when the 14 ring 102 fails, both nodes maintain their switches 501 and 506 in the left 15 position and the transmit signals of both nodes propagate in the same 16 clockwise direction over the ring 101. 17 As illustrated in Fig. 9, each node of Fig. 8 is similar in 18 configuration to that of Fig. 6 except that wavelength converters 613 and 19 614 are dispensed with and splitters 711' and 712' are connected to receive wavelength signals λ_3 and λ_4 from demultiplexer 710 for 21 coupling to splitters 617 and 615. 22 Routes that are followed by the signals of Fig. 9 are 23 schematically show in Fig. 10 in the case of node A of Fig. 8. During 24 normal operation, transmit signal λ_1 is coupled through protection 25 switch 611 and path switch 705 to ring 101. Terminating signal λ_1 from 26 ring 102 is coupled through splitters 711 and 615 to protection switch 27 619 as indicated by a solid thick line. 28 When the ring 101 fails, the protection switch 611 is moved to the 29

lower position, coupling the transmit signal through path switch 713 to

the ring 102 as indicated by a thick broken line. Thus, the

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communicating nodes transmit their signals on wavelengths λ_1 and λ_3 , 1 using the same ring 102. 2 If the ring 102 fails, instead of ring 101, the protection switch 619 3 is moved to the upper position. Since the ring 102 is not the working 4 ring of the local node A, it is the remote node that switches its 5 protection switch 611. Thus, at the local node, the terminating signal λ_3 6 arrives on ring 101 and is coupled through splitters 701 and 616 to the 7 network element as indicated by a thick broken line. 8 The following description is again concerned with a four-fiber 9 ring network. In this network, low priority signals, or extra traffic are 10 carried by protection rings 102 and 104. Fig. 11A shows one example of 11 such a four-ring topology network in which extra traffic is carried on an 12 extra-traffic path 1101 on ring 104 between nodes 106 and 107 (shorter 13 side of a ring) and on a extra-traffic paths 1102, 1103 and 1104 on ring 14 102 between these nodes (longer side of the ring) as indicated by thick 15 solid lines. 16 Since the extra-traffic paths must be cleared before a protection 17 path is established for normal traffic, complexity of protection switching 18 increases with the number of node-to-node hops and the number of 19 extra-traffic paths. 20 In addition, the shorter side of a ring between nodes 106 and 107 21 has a smaller number of extra-traffic paths than its longer side. Thus, it 22 is advantageous to first clear the extra-traffic path on the shorter side of 23 a ring when a working path 11 between nodes 106 and 107 fails. Extra-24 traffic paths on the long side of the ring are cleared only if a failure also 25 occurs on a protection path 14 or all links between nodes 106 and 107 as 26 shown in Fig. 11B. 27 Details of each of the nodes of Figs. 11A and 11B are shown in 28 Fig. 12. To the working rings 101, 103 are connected optical 29

demultiplexers 1201, 1203 and optical multiplexers 1211, 1213. An

optical path switch 1221 is connected between these demultiplexers and

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the multiplexers. In a symmetrical relationship, a set of optical 1 demultiplexers 1201, 1203 and multiplexers 1212, 1214 are associated 2 with protection rings 102, 104, with an optical path switch 1222 being 3 connected between these demultiplexers and multiplexers. 4 An outgoing optical protection switch 1231 is connected to the 5 inputs of all multiplexers via the path switches 1221 and 1222 and an 6 incoming optical protection switch 1232 is connected to the outputs of 7 all demultiplexers via splitters 1241-1244 and the path switches. 8 Similar to the previous embodiment, the path switches are used 9 to establish optical paths between nodes as well as to add up transmit 10 WDM signals to and drop off terminating WDM signals from the 11 transmission rings 101 to 104. Monitor circuit 1250 receives replicas of 12 the terminating signals from the splitters as well as OAM frames from 13 the demultiplexers to control the protection switches 1231 and 1232. 14 The operation of the monitor circuit 1250 of the nodes 107 and 15 106 will be described with the aid of the flowcharts of Figs. 13A, 13B 16 and 14. It is assumed that the node 107 is a destination node 17 communicating with the source node 106 on the working path 11 and 18 detects a path failure caused by a link cut (see Fig. 11A) when the bit 19 error rate of the terminating signal appearing at one of the outputs of 20 demultiplexer 1201 falls below a threshold level. It is further assumed 21 that an additional link failure occurs in a protection path 14 22 simultaneously with the failure of working path 11 (see Fig. 11B). 23 As shown in Fig. 13A, when the monitor circuit 1250 of node 107 24 detects a higher-than-threshold bit error rate, it exits step 1301 and enters step 1302 to formulate and transmit an ET-stop command message onto the working path 13 to instruct the node 106 to stop sending the extra traffic signal and to return an end-of-transmission message when it has cleared the ET path 1101 to establish a protection path 14.

Node 107 then begins a timing action (step 1303) and proceeds 1 to decision step 1304 to check to see if an end-of-transmission message 2 is received from the node 106. If this message is received, flow proceeds 3 from step 1304 to step 1305 to forward a switchover command message 4 on the working path 13 to instruct the node 106 to switch from the failed 5 path 11 to the protection path 14. Node 107 starts a timing action at step 6 1306 and waits for a switchover complete message from the node 106 7 (step 1307). If this switchover complete message is received, flow 8 proceeds from step 1307 to step 1308 to switch from the failed path 11 to 9 the protection path 14, and returns to the starting point of the routine. 10 If an end-of-transmission message is not received from the node 11 106 within the period of the timing action started at step 1303, or if no 12 switchover complete message is received from the node 106 within the 13 period of the timing action started at step 1306, flow proceeds from step 14 1310 or 1311 to decision step 1312 to check to see if an ET stop 15 command message is received from the node 106. If so, the node 107 16 clears the extra traffic path 1104 at step 1313, and starts a timing action 17 at step 1314. 18 Node 107 proceeds from step 1314 to step 1315 to determine 19 whether a switchover command message is received from the node 106 through the protection ring 102. 21 If all links between nodes 106 and 107 fail due to a cable cut, no 22 ET stop command message will be received and the decision at step 23 1312 is negative. In this case, the node 107 proceeds to step 1321 (Fig. 24 13B) to forward ET-stop command messages onto the ring 102 to the 25 nodes 108, 105 and 106 to stop sending their extra traffic signals and 26 clear their extra-traffic paths 1102, 1103 and 1104 (see Fig. 11B). 27 A timing action is then started (step 1322) to wait for end-of-28 transmission messages from the nodes 108, 105 and 106 (step 1323). If 29 all of these messages are received within the period of this timing 30 action, flow proceeds from step 1323 to step 1324 to forward a 31

switchover command message on the ring 102 to the node 106 to 1 instruct it to switch from the failed path 11 to the protection path 12. 2 Otherwise, flow exits step 1326 and returns to the starting point of the 3 routine. At step 1325, the node 107 also switches from the failed path 11 4 to the protection path 12, and returns to the starting point of the routine. 5 Referring to Fig. 14, when the source node 106 receives an extra-6 traffic stop command message from the node 107 at step 1401, it 7 proceeds to step 1402 to clear the extra traffic path 1102. In addition, the 8 node 109 also receives this message and clears the extra traffic path 9 1101. Protection path 14 is thus established. 10 At step 1403, the node 106 begins a timing action and proceeds 11 to decision step 1404 to check to see if a switchover command message 12 (see step 1305, Fig. 13) is received from the node 107. If so, it switches 13 from the failed path 11 to the protection path 14 (step 1405) and sends a 14 switchover complete message on the protection path 14 to the node 107 15 (step 1406), and returns to the starting point of the routine. 16 If the node 106 fails to receive the switchover command 17 message within the period of the timing action started at step 1403, flow 18 proceeds from step 1407 to step 1408 to forward ET-stop command 19 20 messages onto the ring 102 to the nodes 105, 108 and 107 to stop sending their extra traffic signals and clear their extra-traffic paths 1102, 21 1103 and 1104 (see Fig. 11B). 22 A timing action is then started (step 1409) to wait for end-of-23 transmission messages from the nodes 105, 108 and 107 (step 1410). If 24 all of these messages are received within the period of this timing 25 action, flow proceeds from step 1410 to step 1411 to forward a 26 switchover command message on the ring 102 to the node 107 to 27 instruct it to switch from the failed path 11 to the protection path 12. 28 Otherwise, flow exits step 1413 and returns to the starting point of the 29 routine. At step 1412, the node 106 also switches from the failed path 11 30

to the protection path 12, and returns to the starting point of the routine.

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Returning to Fig. 13, the node 107 receives this switchover 1 command message of step 1411 from the node 106 within the period of 2 the timing action started at step 1314, and proceeds to step 1316 to 3 switch from the failed path 11 to the protection path 12, and returns to 4 the starting point of the routine. If no switchover command message is 5 received within the period of the timing action started at step 1314, the 6 node 107 recognizes that no available path is present for recovering the 7 faults, and returns to the starting point of the routine from step 1317. 8 It is seen therefore that in a four-ring topology network where a 9 number of extra traffic paths are established on protection routes, the 10 extra traffic paths on a short protection route are first cleared to 11 establish a short protection path. If this protection path is not 12 established within a prescribed interval due to an additional failure, 13 then the extra paths on a longer route are cleared to establish a longer 14 protection path. 15 Fig. 15A shows details of the transmit protection switch 1231 and 16 the receive protection switch 1232 of Fig. 12. Because of the 17 bidirectional characteristic of photonic devices such as optical couplers 18 and optical switches, it is advantageous for universal applications that 19 each of these transmit and receive protection switches can be 20 constructed of identical configuration for possible failures. 21 A multiport optical coupler, for example, can be used as an 22 optical splitter because a light beam incident on one of its ports appears 23 equally at the other ports. It can also be used as an optical combiner or 24 multiplexer if two or more light beams are incident on a number of 25 input ports, they are combined together and appears at an output port. 26 For simplicity, optical paths from node A to node B only are 27 illustrated in a four-ring topology network, using two working rings 28 101 and 103 and two protection rings 102 and 104. It should be 29 appreciated that the same four rings can also be used by optical paths 30 from node B to node A. The transmit protection switch 1231 and the 31

receive protection switch 1232 are located in the nodes A and B, 1 respectively. 2 Within the node A, the optical protection switch 1231 includes a 3 pair of optical couplers 1501 and 1502 which act as optical splitters on 4 two transmit for dividing each signal into two routes. The outputs of 5 splitter 1501 are connected to a 1 x 3 optical switch 1503 and a 1 x 2 6 optical switch 1504 and the outputs of splitter 152 are connected to a 1 x 7 3 optical switch 1504 and a 1 x 2 optical switch 1505. These optical 8 switches are controlled by the monitor circuit 1250. 9 The outputs of optical switch 1503 lead to optical combiners 10 1507, 1508 and 1509, the outputs of optical switch 1504 leading to 11 optical combiners 1507 and 1508. In a symmetrical configuration, the 12 outputs of optical switch 1505 lead to optical combiners 1510, 1509 and 13 1508, protection paths are used by the outputs of optical switch 1505 14 leading to optical combiners 1510 and 1509. Optical combiners 1507 to 15 1510 are connected to rings 101, 104, 102 and 103, respectively. 16 Within the node B, the optical protection switch 1232 includes 17 optical splitters 1521 to 1524 respectively connected to rings 101, 104, 18 102 and 103. Splitter 1521 has two outputs connected to a 3 x 1 optical 19 switch 1525 and a 2 x 1 optical switch 1526. Splitter 1522 has three 20 outputs connected to switches 1525, 1526 and a 3 x 1 optical switch 1528. 21 The outputs of optical switches 1525 and 1526 are connected to a 22 combiner 1529. In a symmetrical manner, splitter 1524 has two outputs 23 connected to the switches 1528 and 1527, and the splitter 1523 has three 24 outputs connected to the switches 1525, 1527 and 1528. The outputs of 25 optical switches 1527 and 1528 are connected to a combiner 1530. 26 For normal communication, a first transmit signal from node A is 27 forwarded onto working ring 101 via switch 1503 and combiner 1507 28 and received at node B via splitter 1521 and switch 1525, as indicated by 29 a thick broken line 1541. A second transmit signal is forwarded onto 30

working ring 103 via switch 1506 and 1510 and received at node B via

splitter 1524 and switch 1528, as indicated by a thick broken line 1542. 1 If a cable fault occurs and all rings that span between nodes A 2 and B are cut off as indicated in Fig. 15A, the first transmit signal on 3 route 1541 is affected while the second transmit signal remains 4 unaffected. The monitor circuit at node B detects that the signal on 5 route 1541 has failed and examines the supervisory OAM frames 6 transmitted on wavelength λ_s and knows that portions of all rings that 7 span across nodes A and B have failed. At node B, the switch 1525 is 8 moved to the leftmost position to receive the affected signal from 9 protection ring 102 via splitter 1523. In addition, the node B instructs 10 the node A to move its switch 1503 to the rightmost position to forward 11 the first transmit signal onto the protection path 102 via combiner 1509. 12 In this way, an alternate route is established as indicated by a thick line 13 1550. 14 If a link failure occurs on the working ring 101, affecting only one 15 working path as shown in Fig. 15B, the monitor circuit at node B detects 16 that the signal on route 1541 has failed and examines the supervisory 17 OAM frames and knows that the signal on route 1541 only has failed. 18 Node B causes the switch 1525 to move to the center position to receive 19 the affected signal from protection ring 104 via splitter 1522. In 20 addition, the node B instructs the monitor circuit at node A to move its switch 1503 to the center position to forward the first transmit signal 22 onto the protection path 104 via combiner 1508. In this way, an 23 alternate route is established as indicated by a thick line 1551. 24 The optical protection switches can be modified as shown in Fig. 25 15C. In this modification, the splitters 1529 and 1530 of Figs. 15A and 26 15B are not used. Instead, the outputs of optical switches 1525 to 1528 27 are directly used as inputs of a network element. Monitor circuit 1250 is 28 arranged to detect a device fault in the protection switches. If the 29 working optical switch 1525 or 1528 fails, the monitor circuit controls 30 the spare switch 1526 or 1527 to divert the received signal from the 31 failed device. 32